

ECE/CS 584: Hybrid Automaton  
Modeling Framework  
Invariance, Abstractions, Simulation

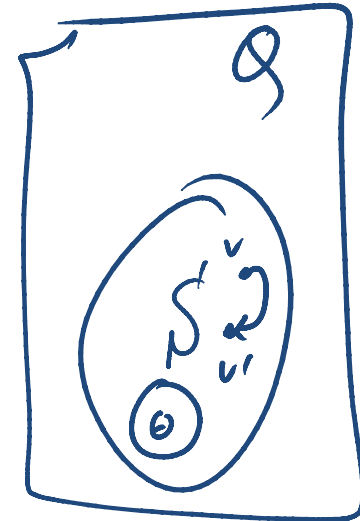
Lecture 04

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# Plan for Today

- Invariants (continued)
- Abstraction
- Simulation relations

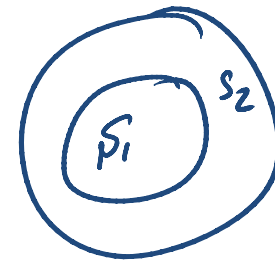
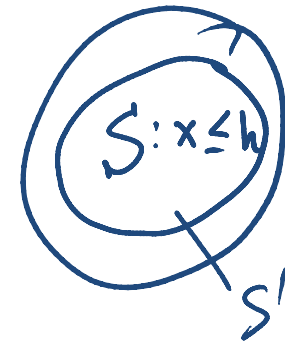
# Inductive Invariants



- Given a hybrid automaton  $\mathcal{A} = (X, Q, \Theta, E, H, \mathcal{D}, \mathcal{T})$
- An  $S \subseteq Q$  is an **invariant** if  $\text{Reach}_{\mathcal{A}} \subseteq S$
- An invariant  $S$  is **inductive** if for any  $v \in S$ 
  - If  $v \xrightarrow{a} v'$  then  $v' \in S$
  - If  $v \xrightarrow{\tau} v'$  then  $v' \in S$
- **Theorem:** For any set of states  $S$  if
  1. for any  $v \in \Theta$  start state,  $v \in S$
  2. If  $v \in S$  and  $v \xrightarrow{a} v'$  then  $v' \in S$
  3. If  $v \in S$  and  $v \xrightarrow{\tau} v'$  then  $v' \in S$Then  $\text{Reach}_{\mathcal{A}} \subseteq S$
- Proof rule for establishing an inductive invariant  $S$
- Checking an inductive invariant is relatively simple
- Finding useful invariants is in general more involved

# Invariants and Inductive Invariants

- All invariants inductive? *No*
  - Examples:  $x \leq h$  (not inductive)
  - $x \leq h \wedge v^2 = 2g(h-x)$



$S_1$  is stronger than  $S_2$

# Pre and Post Computations

- For a given set of states  $Q' \subseteq Q$ , and action  $a \in A$ 
  - $\text{Post\_trans}(Q', a) = \{v' \mid \exists v \in Q', v \xrightarrow{a} v'\}$
  - $\text{Post\_trans}(Q', A) = \{v' \mid \exists v \in Q', a \in A, v \xrightarrow{a} v'\}$
  - $\text{Post\_taj}(Q') = \{v' \mid \exists v \in Q', \tau \in T, v \xrightarrow{\tau} v'\}$
  - $\text{Post}(Q') = \text{Post\_trans}(Q', A) \cup \text{Post\_taj}(Q')$
- **Theorem:**  $S$  is an inductive invariant iff it is a fixpoint of  $\text{Post}()$  and it contains  $\Theta$ .
  - $\text{Pre\_trans}(Q', A) = \{v \mid \exists v' \in Q', a \in A, v \xrightarrow{a} v'\}$
  - $\text{Pre\_taj}(Q') = \{v \mid \exists v' \in Q', \tau \in T, v \xrightarrow{\tau} v'\}$
  - $\text{Pre}(Q') = \text{Pre\_trans}(Q', A) \cup \text{Pre\_taj}(Q')$

# Abstractions

- Invariants overapproximate the set of reachable states
- E.g. “height is always less than  $h$ ”
- Abstractions overapproximate executions
- E.g. “there is a bounce every  $c^n$  seconds”

[Pablo Picasso](#), *Portrait of Gertrude Stein*, 1906, [MOMA](#), New York. When someone commented that Stein didn't look like her portrait, Picasso replied, "She will". *From Wikipedia.*



# Abstraction and Implementation ( $\leq$ )

- $\mathcal{A}_1$  and  $\mathcal{A}_2$  are **comparable** if they have the same external interface, i.e.,  $E_1 = E_2$
- For two comparable automata,  $\mathcal{A}_1$  **implements**  $\mathcal{A}_2$  if Traces<sub>1</sub>  $\subseteq$  Traces<sub>2</sub>
- $\mathcal{A}_2$  is an **abstraction** of  $\mathcal{A}_1$  if  $\text{Execs}_1 \subseteq \text{Execs}_2$
- $\mathcal{A}_1$  is a **refinement** of  $\mathcal{A}_2$
- Examples ?

# Abstract Bounce

## Concrete

Automaton Bouncingball( $c, v_0, g$ )

variables: analog  $x: \text{Reals} := 0,$   
 $v: \text{Reals} := v_0$

actions: external bounce

transitions:

**bounce**

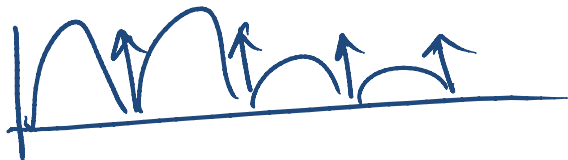
pre  $x = 0 \wedge v < 0$

eff  $v := -cv$

trajectories:

evolve  $d(x) = v; d(v) = -g$

invariant  $x \geq 0$



## Abstract

Automaton BounceAbs( $c, h, g$ )

variables: analog  $\text{timer}: \text{Reals} := \underline{v_0}$   
 $n: \text{Naturals} = 0;$

actions: external bounce

transitions:

**bounce**

pre  $\text{timer} = 0$

eff  $n := n + 1; \text{timer} := \frac{2v_0 c^n}{g}$

trajectories:

evolve  $d(\text{timer}) = -1$

invariant  $\text{timer} \geq 0$





# Simulations

- **Forward simulation** relation from  $\mathcal{A}_1$  to  $\mathcal{A}_2$  is a relation  $R \subseteq Q_1 \times Q_2$  such that
  1. For every  $\mathbf{x}_1 \in \Theta_1$  there exists  $\mathbf{x}_2 \in \Theta_2$  such that  $\mathbf{x}_1 R \mathbf{x}_2$
  2. For every  $\mathbf{x}_1 \xrightarrow{a_1} \mathbf{x}_1' \in \mathcal{D}$  and  $\mathbf{x}_2 \in Q_2$  such that  $\mathbf{x}_1 R \mathbf{x}_2$ , there exists  $\mathbf{x}_2'$  such that
    - $\mathbf{x}_2 \xrightarrow{\beta} \mathbf{x}_2'$  and
    - $\mathbf{x}_1' R \mathbf{x}_2'$
    - $\text{Trace}(\beta) = a_1$
  3. For every  $\tau \in \mathcal{T}$  and  $\mathbf{x}_2 \in Q_2$  such that  $\mathbf{x}_1 R \mathbf{x}_2$ , there exists  $\mathbf{x}_2'$  such that
    - $\mathbf{x}_2 \xrightarrow{\beta} \mathbf{x}_2'$  and
    - $\mathbf{x}_1' R \mathbf{x}_2'$
    - $\text{Trace}(\beta) = \tau$
- **Theorem.** If there exists a forward simulation relation from  $\mathcal{A}_1$  to  $\mathcal{A}_2$  then  $\text{Traces}_1 \subseteq \text{Traces}_2$

# Forward Simulation for Abstraction

- **Forward simulation** relation from  $\mathcal{A}_1$  to  $\mathcal{A}_2$  is a relation  $R \subseteq Q_1 \times Q_2$  such that
  1. For every  $\mathbf{x}_1 \in \Theta_1$  there exists  $\mathbf{x}_2 \in \Theta_2$  such that  $\mathbf{x}_1 R \mathbf{x}_2$
  2. For every  $\mathbf{x}_1 -a_1 \rightarrow \mathbf{x}_1' \in \mathcal{D}$  and  $\mathbf{x}_2 \in Q_2$  such that  $\mathbf{x}_1 R \mathbf{x}_2$ , there exists  $\mathbf{x}_2'$  such that
    - $\mathbf{x}_2 -a_1 \rightarrow \mathbf{x}_2'$  and
    - $\mathbf{x}_1' R \mathbf{x}_2'$
  3. For every  $\tau \in \mathcal{T}$  and  $\mathbf{x}_2 \in Q_2$  such that  $\mathbf{x}_1 R \mathbf{x}_2$ , there exists  $\mathbf{x}_2'$  such that
    - $\mathbf{x}_2 -\tau \rightarrow \mathbf{x}_2'$  and
    - $\mathbf{x}_1' R \mathbf{x}_2'$
- **Theorem.** If there exists a forward simulation relation from  $\mathcal{A}_1$  to  $\mathcal{A}_2$  then  $\text{Execs}_1 \subseteq \text{Execs}_2$

# Characteristics of Hybrid Automata

- Guards, Transition relations, Invariants, DAEs written in some language
- These objects define the Transitions and Trajectories
- Transitions and trajectories define executions and traces
- Decidability of verification problem will depend on the choice of the language
- Nondeterministic
  - Transition choice
  - Transition relation
  - Branching trajectories
- External interface
  - External actions
  - Further partitioned into I/O actions
  - External variables available in the hybrid I/O automaton model

- Special cases

– Deterministic HA

$$\begin{aligned} \dot{x} &\in [a, b] \\ \dot{x} &= a \\ a \leq x \leq b \wedge \\ c \leq y \leq d \end{aligned}$$

– Rectangular HA

$$\dot{x} := c$$

– (Alur-Dill) Timed Automata

$$x \in [a, b]$$

$$\dot{x} = c$$

–  $X =$  Finitely many variables with finite types  $\rightarrow$  Finite State Machine with Labeled transitions

–  $X = n$  real valued variables  $\{x_1, \dots, x_n\}$  and  $A = \{\}$   $D = \{\}$   $\rightarrow$  Dynamical System

Not

$$\dot{x} = ax$$

$$\dot{x} = f(x, y)$$